

Comparison and evaluation of several models for fitting the frequency response of dispersive systems

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Using both simulated and experimental data, detailed comparisons are made between the different physical interpretations and responses of several important models commonly employed for fitting and analyzing conductive-system data sets, such as those for ionic glasses. Those considered are one following directly from stretched-exponential temporal response, designated the Kohlrausch K0; several ones indirectly associated with such stretched-exponential response: the original modulus formalism (OMF) model and corrected modulus formalism (CMF) ones; and the ZC model, one whose real-part conductivity expression has been termed “universal dynamic response.” In addition, several models involving dielectric dispersion, rather than resistive dispersion, are found to be less appropriate for the present data than are the CMF ones. Of the four main conductive-system models the CMF approach fits data for a wide variety of materials much better than do the others. The OMF is shown to be both experimentally and theoretically defective and leads to poor and inconsistent fitting results. The simple ZC model involves nonphysical low-frequency-limiting real-part conductivity response and is usually less appropriate even than the K0. High- and low-frequency expressions and fit results for the various dielectric elements are presented, along with discussion of characteristic, peak, and mean relaxation times for the various models, failing to confirm some proposed relations between these quantities suggested earlier.
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I. INTRODUCTION

There are currently four main approaches for analyzing dispersive frequency response data of ionic materials. Of these, three involve stretched-exponential correlation-function temporal response and lead to different types of Kohlrausch frequency-response models, all ultimately derived from stretched-exponential correlation-function temporal response.^{1,2} The K0 model, conceptually the simplest, is just the Fourier transform of stretched-exponential response.^{3–8} Next is the original modulus formalism (OMF) of Moynihan and associates,^{9,10} and the third is the corrected modulus formalism (CMF).^{3,7,8,11–14} The fourth model, the ZC, involves complex power-law behavior with an exponent n , and it is often designated as “universal dynamic response” (UDR) when only the real part of its conductivity is considered (Ref. 6) and references therein, Ref. 15.

Although prior work indicates that the CMF is both theoretically and experimentally more appropriate than the OMF and ZC/UDR approaches,^{6–8,16} both of the latter models continue to be widely used for data fitting and analysis. The present work includes new comparisons between these various models in order to help the reader pick the most appropriate one for future use. The four models, as well as several dielectric-dispersion ones, are defined and discussed in Sec. II, and some of their fitting results to experimental data are illustrated in Sec. III. Finally, Sec. IV compares formulas and fit results for mean values, peak values, and various dielectric constants calculable using these models.

II. SUMMARY OF VARIOUS RESPONSE MODELS

A. Kohlrausch response models and the OMF and CMF approaches

Electrode effects and possible nearly-constant-loss (NCL) behavior^{16–19} are included here as appropriate, together with the basic fitting models discussed in the following. Let us use the subscript k , taken equal to D , 0, 1, or Z , to distinguish some of the different types of dispersive frequency response models. Here D specifies bulk dielectric response and Z designates the ZC model. For $k=0$, define the stretched-exponential temporal response as

$$\phi_0(t) = \exp[-(t/\tau_o)^{\beta_0}], \quad 0 < \beta_0 \leq 1, \quad (1)$$

where τ_o is the characteristic relaxation time of the response. The 0 subscripts are changed in Eq. (1) to D ones for dielectric situations. In most of the literature involving Kohlrausch (also designated by KWW or just K) response models, no distinction has been made between the $K=D$, 0, and 1 values of the fractional exponent β_k , and it has usually been designated as just β , sometimes leading to ambiguity.

Next, define the normalized frequency response quantity^{2,3}

$$I_k(\omega) \equiv \frac{U_k(\omega) - U_k(\infty)}{U_k(0) - U_k(\infty)} = I_k' - iI_k'', \quad (2)$$

so $I_k(0) = 1$ and $I_k(\infty) = 0$. For pure dielectric dispersion,^{2,20} $U_D(\omega) \equiv \epsilon(\omega)$, where $\epsilon(\omega)$ is the complex dielectric constant. For pure conductive-system dispersion set $k=0, 1$, or Z and $U_k(\omega) \equiv \rho(\omega)$, where $\rho(\omega)$ is the complex resistivity, equal to the inverse of the complex conductivity $\sigma(\omega)$

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$=\sigma'(\omega)+i\sigma''(\omega)$. Note that for conductive systems, the CMF and the OMF have usually implicitly or explicitly assumed that the quantity $\rho_\infty\equiv\rho(\infty)$ is zero, although the effects when it is small but nonzero have been discussed elsewhere.^{3,14} For simplicity and because ρ_∞ cannot be determined by data fitting unless the data extend to very high frequencies, it will also be taken as zero herein.

For $k=D$ or 0 but not 1, the normalized frequency response is given by^{2,10,13}

$$I_k(\omega)=\int_0^\infty \exp(-i\omega t)\left(-\frac{d\phi_k(t)}{dt}\right)dt. \quad (3)$$

For the K0 Kohlrausch response model, the $\phi_0(t)$ used in Eq. (3) is that of Eq. (1) and is a conductive-system correlation function. The $k=D$ $\phi_D(t)$ quantity is proportional to the dielectric transient response current, and the $I_D(\omega)$ frequency response is that of the KD model.^{2,20} Note that Eqs. (2) and (3) should be applied only for a single dispersive process.¹² Thus, effects not directly associated with such dispersion are not then included in the response, and only ones arising entirely from mobile charge effects are involved herein for $k\neq D$.

The situation is a bit more complex for the conductive-system K1 Kohlrausch response model, that appropriate when $k=1$ and used for both the OMF and CMF approaches. The OMF K1 analysis begins with the $\phi_0(t)$ quantity, defined as a conductive-system correlation function for electric field decay at constant dielectric displacement.¹⁰ This macroscopic approach, which also involves a quantity equivalent to $I_0(\omega)$, has come to be known as the original modulus formalism because it was first derived at the complex modulus level, where $M(\omega)=i\omega\epsilon_V\rho(\omega)=M'(\omega)+iM''(\omega)$. Here ϵ_V is the permittivity of vacuum. A microscopic model with formally equivalent frequency response to the K1^{13,14} was also published in 1973;²¹ see further discussion in Sec. III.

The corrected form of the K1 conductive-system modulus formalism, the CMF, when expressed at the modulus level, may be written as^{3-5,13,14}

$$M_{C1}(\omega)=i\omega\epsilon_V\rho_0I_1(\omega)=[1-I_0(\omega)]/\epsilon_{C1\infty}, \quad (4)$$

where $I_0(\omega)$ follows from Eqs. (1) and (3) with $k=0$, $\rho_0\equiv\rho(0)$, and $\epsilon_{C1\infty}$ is the high-frequency-limiting value of the conductive-system part of the dielectric constant, $\epsilon_{C1}(\omega)=1/M_{C1}(\omega)$. Here the subscript C is used to denote the conductive-system response just as D has been used to designate the bulk dielectric response, that present in the absence of mobile charges. The corresponding high-frequency-limiting bulk dielectric constant is $\epsilon_{D\infty}$, and thus for conductive materials $\epsilon_\infty\equiv\epsilon_{C1\infty}+\epsilon_{D\infty}$, where ϵ_∞ is the high-frequency-limiting value of $\epsilon(\omega)$ for either the experimental data or for the total CMF theoretical model.

It is important to recognize that although in the modulus formalism the $I_0(\omega)$ appearing in Eq. (4) stems from stretched-exponential temporal response involving $\beta=\beta_0$, the $I_1(\omega)$ of this equation differs in form from $I_0(\omega)$, and thus its time-domain transform is *not* of stretched-exponential form,^{5,14} as is that of the K0 model. Therefore, when Eq. (4) is a part of a full K1 data fitting model with β_0

free to vary, fitting will lead to a different estimate of it than that which would have been obtained had the K0 model, where $M_{C0}(\omega)=i\omega\epsilon_V\rho_0I_0(\omega)$, been used for fitting. The new β estimate, arising from using the K1 model, is naturally termed β_1 , and it is thus not appropriate to set $k=1$ in Eq. (3). Recent fitting results for CMF β_1 temperature, and ionic-concentration dependencies appear in Ref. 8 where β_1 is shown to be virtually independent of either variation.

Conductive-system analysis^{3,7,8,13,14} leads to the following important CMF general definitions of $\epsilon_{C1\infty}$, whose value may be estimated from data fitting as illustrated later:

$$\begin{aligned} \epsilon_{C1\infty} &= \sigma_0\tau_o/\langle x^{-1} \rangle_1 \epsilon_V = \sigma_0\tau_o\langle x \rangle_{01}/\epsilon_V \\ &= [\gamma N(qd)^2/6k_B\epsilon_V]/T = A/T, \end{aligned} \quad (5)$$

where τ_o is, as usual, the characteristic relaxation time of the dispersion. Here $x\equiv\tau/\tau_o$, and so $\tau_o\langle x \rangle_{01}=\langle \tau \rangle_{01}$ and $\tau_o^{-1}\langle x^{-1} \rangle_1=\langle \tau^{-1} \rangle_1$. The quantity $\langle \tau \rangle$ is the mean of τ over the distribution of relaxation times of the dispersed response model.^{2,12,13} For the present Kohlrausch models, the 01 subscript indicates that $\langle x \rangle_{01}$ is the mean of x over the K0 distribution involving β_1 rather than β_0 , as implied by Eq. (4). The normalized means satisfy $\langle x \rangle_{01}=1/\langle x^{-1} \rangle_1$ because of the close relation between the K0 and K1 distributions of relaxation times.^{3,12,22} Here N is the maximum mobile charge number density; γ is the fraction of charge carriers of charge q that are mobile; and d is the rms single-hop distance for the hopping entity. As usual we shall take the quantities in the square brackets of Eq. (5) temperature independent, so the parameter A is then independent of temperature.⁸

Because there is always a contribution to the experimental high-frequency dielectric response from dipolar and vibronic bulk-material effects, it is insufficient to fit experimental data with a purely conductive-system response model, such as that of Eq. (4). For the usual frequency range employed for most measurements on ionic materials, roughly 10^{-2} – 10^6 Hz, bulk dielectric dispersion is negligible, and, in the absence of ionic conduction, bulk response is adequately described by the frequency-independent dielectric constant $\epsilon_{D\infty}$. Although this quantity seems to increase somewhat with an increase in mobile charge concentration,⁸ for simplicity I shall follow common practice here and take it independent of frequency over the measured range as well as independent of ionic concentration.

The OMF equation corresponding to the CMF one of Eq. (4) is^{3,10,23}

$$M_1(\omega)=i\omega\epsilon_V\rho_0I_1(\omega)=[1-I_0(\omega)]/\epsilon_\infty, \quad (6)$$

differing only in the replacement of $\epsilon_{C1\infty}$ by ϵ_∞ , where $\epsilon_\infty\equiv\epsilon_{C1\infty}+\epsilon_{D\infty}$. It thus accounts for the effects of $\epsilon_{D\infty}$ by implicitly combining conductive-system and dielectric responses in Eq. (6), improper because only the pure conductive-system Eq. (4)-model follows directly from Eqs. (1) to (3).

In contrast, the full CMF fitting approach must include the effect of $\epsilon_{D\infty}$ in a way more satisfactory than that of the OMF, and thus it needs to involve a nondispersive dielectric-response addition to the K1 model. The simplest way is to include a separate free fitting parameter, $\epsilon_x=\epsilon_{D\infty}$; the result-

ing composite model is denoted CK1. It thus involves the conductive-system parameters σ_0 , τ_o , and β_1 , as well as $\epsilon_{D\infty}$, and Eq. (5) allows an estimate of $\epsilon_{C1\infty}$ to be calculated when the value of $\langle x \rangle_{01}$ is known (see Sec. IV C).

A more general alternative model is to include in parallel with K1 a constant phase element (PCPE), defined at the complex dielectric level as

$$\epsilon_{PC}(\omega) \equiv A_{PC}(i\omega)^{-\gamma_{PC}}, \quad (7)$$

where $0 \leq \gamma_{PC} \leq 1$.^{8,16,18,19} The resulting composite model, which can also represent nearly constant loss effects when present, is termed the PK1. Note that when $\gamma_{PC}=0$, ϵ_{PC} is an ordinary dielectric constant such as $\epsilon_{D\infty}$, and when $\gamma_{PC}=1$ ϵ_{PC} becomes a pure conductance. When ϵ_{PC} is used to represent NCL behavior, $\gamma_{PC} \ll 1$ and $A_{PC} \approx \epsilon_{D\infty}$.

In contrast, the OMF fitting model involves only the σ_0 , τ_o , and β_1 parameters, allowing no separate estimation of $\epsilon_{D\infty}$ and $\epsilon_{C1\infty}$, but an estimate of ϵ_∞ may be calculated from the OMF analog of Eq. (5)^{3,7,9,10,14,23,24} using the above-given parameter estimates and

$$\epsilon_\infty = \epsilon_{Ma} \langle x \rangle_{01}, \quad (8)$$

where

$$\epsilon_{Ma} \equiv \sigma_0 \tau_o / \epsilon_V \quad (9)$$

is a Maxwell type of relation. In most applications of the OMF, instead of using Eq. (8) directly, Eqs. (8) and (9) are used to estimate σ_0 when an independent estimate of ϵ_∞ is available. Although the slightly greater simplicity of the OMF compared to the CMF encourages its use, OMF fitting invariably leads to inconsistencies in fitting experimental data and thus to much less accurate fitting than does the CMF.^{6-8,14,16}

In the past, there has been little direct fitting of Eq. (4) or (6) to data because no analytical result for the integral of Eq. (3) is available for arbitrary values of β_0 in Eq. (1). Therefore, $I_0(\omega)$ has had to be calculated numerically for each separate value of ω by a Fourier transform of Eq. (3), a task not amenable for data fitting with free model parameters. Luckily, an alternative exists and has been used in such data fitting by the author since 1996. Both the K0 and K1 frequency and temporal responses associated with such equations as Eqs. (4) and (6) may be accurately calculated or fitted using the free LEVM complex-nonlinear-least-squares computer program.²⁵ Further, unlike the Fourier transformation approach, LEVM allows possible inclusion in the total fitting model of not only K0 or K1 response but also of effects associated with $\epsilon_{D\infty}$, partial or full blocking at electrodes, and nearly constant loss.^{3,8,16-19}

The β_0 , OMF β_1 , and CMF β_1 quantities associated with Eqs. (1), (4), and (6) are generally quite different and should not be designated by just β . The high-frequency-limiting log-log $\sigma'(\omega)$ slopes of the three basic Kk models involving β_k are $(1-\beta_D)$, β_0 , and $(1-\beta_1)$.⁴ Thus for a given conductive-system data set extending to sufficiently high frequencies we expect that β_0 and $(1-\beta_1)$ should both equal the ZC power-law exponent n . Some relevant fitting results are included in Sec. III.

B. The ZC response model

The ZC is probably the simplest useful response model. Its historical background is discussed in Ref. 6. At the complex conductivity level it may be expressed as

$$\sigma_Z(\omega) = \sigma_0 [1 + (i\omega\tau_Z)^n], \quad (10)$$

with $0 < n \leq 1$. Although the ZC, particularly in a simplified expression for $\sigma'_Z(\omega)$, the UDR response model, has been known and used for many years,^{6,15,26} both the power-law low-frequency-limiting behavior of $(\sigma_Z(\omega) - \sigma_0)$ and the high-frequency limiting response of $\sigma_Z(\omega)$ are nonphysical. Fitting of $\sigma'(\omega)$ data to the $\sigma'_Z(\omega)$ model thus invariably yields an inaccurate estimate of σ_0 .⁶ See also the fit results presented here in Sec. IV F.

The UDR form, defined at the real conductivity level, is

$$\sigma'_Z(\omega) = \sigma_0 [1 + (\omega\tau_U)^n], \quad (11)$$

so it follows that $\tau_U = \tau_Z [\cos(n\pi/2)]^{1/n}$, generally smaller than τ_Z . In the past, τ_U has been identified as the inverse of the hopping frequency of the charge carriers,²⁷⁻³⁰ but this interpretation was soon challenged^{26,31,32} and does not seem well justified either theoretically or experimentally. Further, recent work^{13,14} has shown that the Scher-Lax microscopic model²¹ mean time for a hop can be identified as the CMF mean relaxation time $\langle \tau \rangle_{01} = \tau_o \langle x \rangle_{01}$ of the macroscopic CK1 model; see Eq. (5) and the discussion of the isomorphism of the microscopic and macroscopic models in Sec. III. Since there is no reason to believe that $\tau_U \approx \langle \tau \rangle_{01}$, it should not be identified as the hopping time. Further, since the real and imaginary parts of Eq. (10) satisfy the Kronig-Kramers relations, this equation should always be used in place of Eq. (11).^{3,6,33}

When the ZC is used to analyze conductive-system data by means of complex-nonlinear-least-squares fitting, one must include the term $i\omega\epsilon_V\epsilon_\infty$ in the full fitting model at the complex conductivity level in order to account for the endemic presence of ϵ_∞ . Note, however, that such a term contributes nothing to the $\sigma'(\omega)$ part of the response. In the absence of electrode and NCL effects, it is clear from Eq. (10) that the high-frequency-limiting log-log slope of $\sigma'_Z(\omega)$ versus ω is just the exponent n . But limited-range data may not be sufficient to allow a good estimate of n to be obtained unless the data are accurately described by Eq. (10) at high frequencies. If data are, as usual, well fitted by the CK1 or PK1 models, it is clear that at the complex admittance level the C of the CK1 approach should involve the term $i\omega\epsilon_V\epsilon_{D\infty}$, not the $i\omega\epsilon_V\epsilon_\infty$ of the ZC and CK0 models.

III. COMPARISON OF SEVERAL FITTING MODELS

A. Preliminary comparisons

In the first version of the present work, detailed comparison of fitting results of the same data sets using the OMF and CMF approaches was emphasized. A reviewer suggested that because "there is little doubt that there are electrical dipoles in ionic solids," such comparisons should include dielectric-dispersion models as well as conductive-dispersion ones and cited the present Refs. 34-36 as illustrative of such comparison. These works dealt with data for melts, glasses, and liq-

uid ionic solutions and assumed that dielectric dispersion associated with ion pairs was dominant and that no conductive-system dispersion was present.

The problem of deciding whether a given dispersive frequency response data set arises from mobile-charge effects (conductive-system dispersion) or from dielectric dispersion is an interesting one and was investigated in 1999 using accurate synthetic data.³⁷ A K1 data set extending over a very wide frequency range was fitted with the DK0≡KD model (and *vice versa*), where the “D” as usual denotes a dielectric dispersion situation. Because conductive-system response involves a nonzero $\rho(0)=1/\sigma_0$ dc value, adequate dielectric-system fitting of such data requires that a dc conductivity quantity be included in parallel with a pure dielectric response model, as in Refs. 34–36. Such a KD $\epsilon(\omega)$ model involves the four parameters ϵ_∞ , $\Delta\epsilon\equiv\epsilon_0-\epsilon_\infty$, τ_{oD} , and β_D , as in Eq. (2). Let us denote the inclusion of a parallel conductivity parameter by “G”; then an appropriate composite model may be designated by GDK0. Further, let “S” indicate the presence of a CPE term representing electrode effects, the SCPE, in series with a conductive or dielectric model.^{8,16,18,19} The number of free parameters in a composite fitting model will be included in parentheses after the name of the model, for example, GDK0S(7).

The isothermal comparisons of Ref. 37 showed that while one could generally well fit a conductive system involving resistive dispersion with one involving dielectric dispersion, and *vice versa*, such fits were not exact, allowing the different processes to be distinguished. When data are available for a range of temperatures, one would expect the activation energies of σ_0 and τ_o for a thermally activated conductive system to be the same or very nearly equal,⁸ while such behavior is unlikely for leaky dielectric situations. Since the authors of Ref. 38 found nearly equal activation energies using a dielectric-dispersion fitting model for lithium chloride solutions, it is likely that conductive-system analysis would have been more appropriate for their data. But this 1971 work was published before the OMF approach had been developed.

It is always a good idea to investigate the appropriateness of different fitting models when analyzing a new experimental data set. We shall here fit data for the single-crystal material $0.88\text{ZrO}_2\cdot 0.12\text{Y}_2\text{O}_3$ at $T=503$ K.⁸ We consider conductive-system composite fits involving the ZC, K1, and K0 models, and the dielectric-system ones GDK0, GDDC, and GDEXP ones as well. These dielectric-dispersion models were also used in Ref. 37. Here DC denotes the three-parameter Davidson–Cole model, and EXP stands for the asymmetrical exponential distribution-of-relaxations-times model. LEVM fitting employed proportional weighting of the complex data at the modulus level and led to values of the relative standard deviations of the fit residuals, S_F . For the ZC(3), CZC(4), and PZC(5) models, values found for S_F were 0.243, 0.0537, and 0.0543, respectively. All of these values are poor, but the ZC one led to completely inadequate parameter estimates.

Table I shows fitting results for 15 other models. No convergence could be obtained for fitting with the K0(3) model since it involves no ϵ_∞ parameter. Note that both the

TABLE I. Comparison of values of S_F , the relative standard deviation of a fit, for 15 fits of experimental single-crystal $M(\omega)$ data of $0.88\text{ZrO}_2\cdot 0.12\text{Y}_2\text{O}_3$ at $T=503$ K. Numbers in parentheses denote the number of free fitting parameters present. Here an initial C in a model name indicates the presence of a capacitance or dielectric constant in parallel with the basic model; P indicates a parallel constant-phase-element; S indicates a series constant-phase-element; and GD indicates the presence of a conductance or conductivity in parallel with a dielectric dispersion model (see Sec. III A).

K1 models	S_F	K0 models	S_F	GD models	S_F
K1(3)	0.071	K0(3)	...	GDK1(5)	0.094
CK1(4)	0.021	CK0(4)	0.035	GDK0(5)	0.019
CK1S(6)	0.0090	CK0S(6)	0.011	GDDC(5)	0.0133
PK1S(7)	0.0050	PK0S(7)	0.0057	GDDCS(7)	0.0101
PK1(5)	0.0049	PK0(5)	0.0083	GDEXP(5)	0.0116

OMF K1 model and the GDK1 one led to very poor fits. Further, although the S_F values for the PK1S, PK0S, and GDDCS models are small, all these fits involved at least two free parameters with such large relative standard deviations that their values were statistically undetermined.

Although it is surprising that the bottom three K0-model fits led to S_F values nearly as small as the corresponding K1 ones, it is clear that the PK1 model provided the best fit, as found earlier for these data,⁸ one very appreciably better than those of the GDDC and GDEXP. In addition, fits of the present data agree with the conclusion in Ref. 37 that a DEXP model yields a somewhat better dielectric-dispersion fit than does a DK0 or DDC one.

Another significant result found was that fitting with the GPK1 model led to essentially the same S_F value as that for the PK1 but also to such a large uncertainty of the parallel conductivity parameter that it could not be statistically distinguished from zero. As mentioned in Ref. 37, when such a result appears it is a good indication that the data involve conductive dispersion rather than dielectric dispersion. The equality of the activation energies of σ_0 and τ_o for fits of data for the present material over a range of temperatures,⁸ as well as the present results, clearly indicate that these data sets involve resistive rather than dielectric dispersion. Nevertheless, some detailed comparisons between fittings by the two different approaches are included in the following.

B. Detailed fitting results

The results in Table I suggest that detailed GDEXP-model fitting be compared to that obtained using the OMF K1 and the CMF PK1 models, but since the comparisons of Refs. 34–36 used the GDDC model, it will be employed here rather than the GDEXP one. Instead of using accurate complex-nonlinear-least-squares fitting of data to estimate OMF parameters, it has been customary for those employing the OMF approach to use the results of Table 2 in Ref. 10 to relate the width at half height of curves of $M''(\omega)$ data to $\beta(=\beta_1)$. As discussed in the following, this procedure yields inappropriate β estimates. Alternatively, one may readily employ LEVM fitting to obtain accurate estimates of all OMF model parameters, as illustrated here.

Some detailed results of PK1, K1, and GDDC fittings of the data used for generating Table I are presented in Fig. 1.

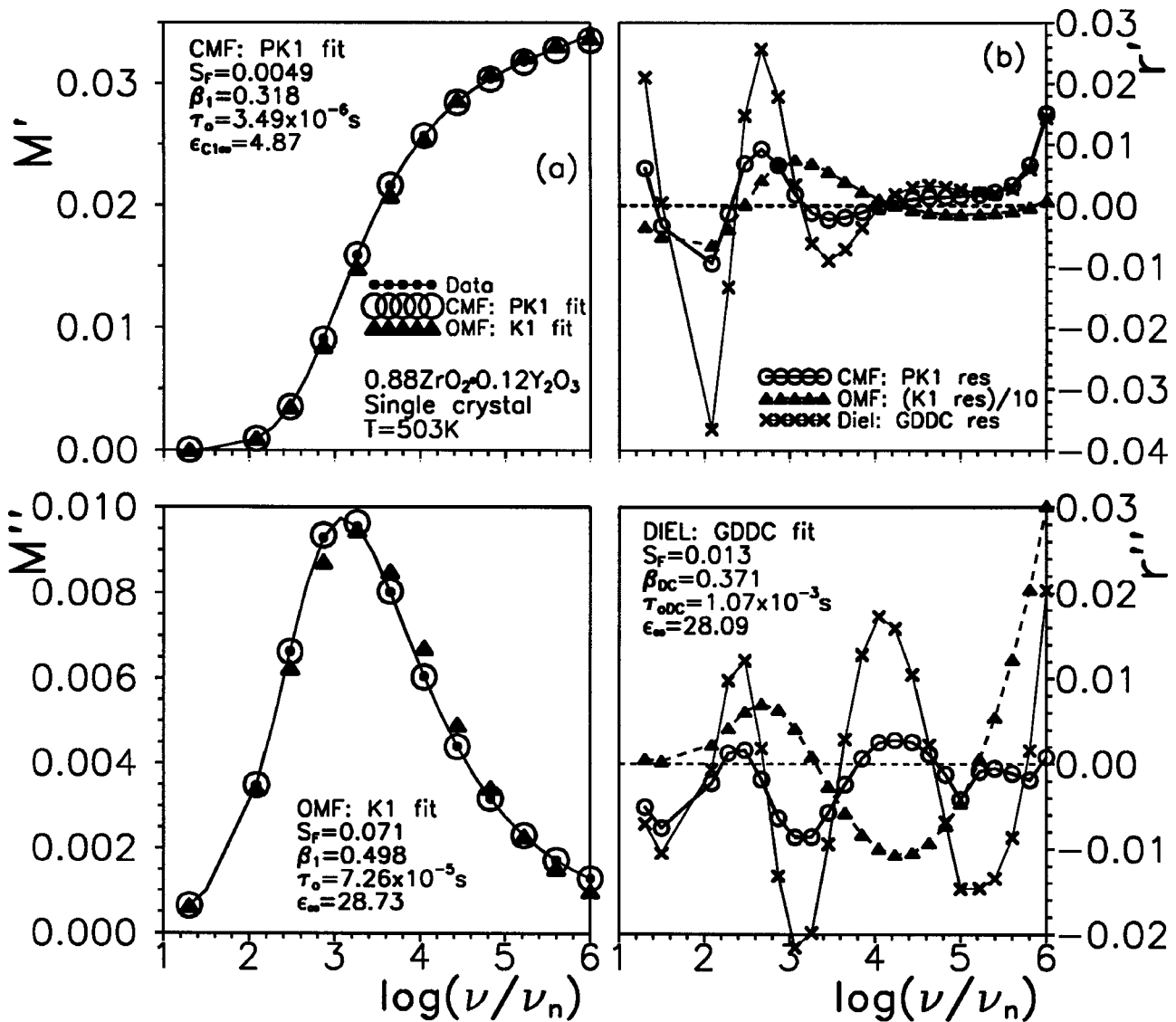


FIG. 1. (a) $M'(\nu)$ and $M''(\nu)$ data and fit results for the PK1 and K1 models. (b) Real (r') and imaginary (r'') relative residuals, each defined here as (data—model prediction)/(model prediction), for PK1, K1, and GDDC model fits. The residual lines are included here solely to guide the eye. Here and hereafter, $\nu_n = 1$ Hz. Note that the K1-fit residuals are shown here at one-tenth of their actual size.

Although the GDDC-fit points are omitted in Fig. 1(a) because they would not be well distinguishable, the differences between the three fit predictions are made clear by the residual plots of Fig. 1(b). These results, consistent with the corresponding S_F values, show that the PK1 model is appreciably superior to the GDDC one, especially at low and mid-frequencies, and both are far superior to the K1 model for fitting the present data.

Particularly important is the difference between the β_1 estimates shown. It arises from the absence of an $\epsilon_{D\infty}$ parameter in the OMF K1 fit. Its $\epsilon_{C1\infty}$ estimate of 28.88 is identified, as usual in this approach, as ϵ_∞ , and we see that it agrees quite well with the value from the GDDC fit. No ϵ_∞ estimate is shown for the PK1 fit because the presence of the PCPE term in this model, applying for all frequencies, prohibits the accurate determination of such a quantity. If, however, we approximate $\epsilon_{D\infty}$ by the $A_{PC} = 24.78$ PK1 fit estimate, not unreasonable since the associated γ_{PC} estimate is only 0.0039, we obtain the value $\epsilon_\infty \approx 29.65$, somewhat

larger than the CK1S fit estimate of 28.29 where the β_1 estimate is 0.319. An improved PK1-like NCL approach is discussed in Ref. 8, and related work in progress that replaces the PCPE term by an effective-medium model does lead to a physically realizable expression for $\epsilon_{D\infty}$.

Aside from the more accurate fit of the CMF, true even when any CPE element is omitted, why should one prefer the CMF to the OMF? Further reasons are summarized in the following, but Fig. 2 makes the difference in fitting results graphic. Here we have plotted full CK1S and K1 $M''(\nu)$ fit results for comparison with the K1-only part of the CK1S and PK1 fits. The vertical dashed lines, plotted at $\nu_o \equiv 1/2\pi\tau_o$, clearly occur beyond the peaks of the curves, showing that the sometimes-used identification of ν_o with the peak frequency³⁹ is inappropriate. Further, as shown here and hereafter, OMF estimates of τ_o are always much larger than are CMF-fit ones.

Although the K1 parameter estimates obtained from the CK1S and PK1 fits are in close agreement, the slightly

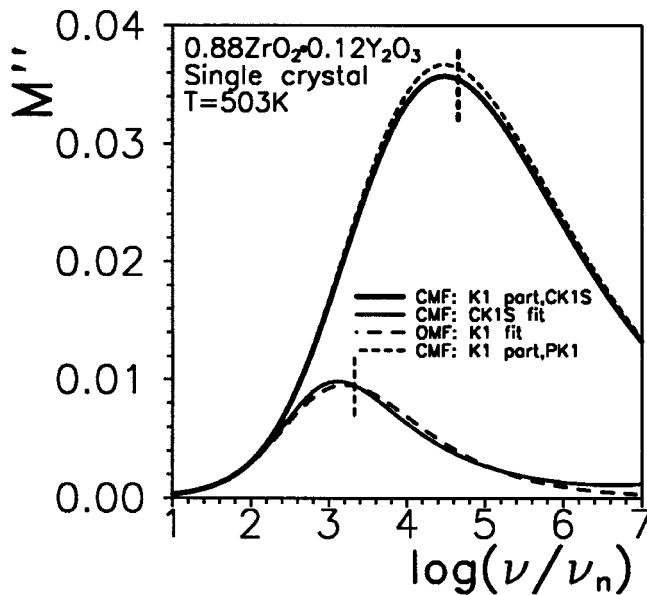


FIG. 2. Comparison of full OMF K1 and CMF CK1S fits of Fig. 1 data with the K1-response parts of the CMF CK1S and PK1 fits. The fit parameters were used to generate and extrapolate these results to higher frequencies. The vertical dashed lines show the positions of $\nu_0 = 1/2\pi\tau_0$ for the OMF and CMF curves.

higher peak of the K1 part of the PK1 fit shown in Fig. 2 is associated with the lack of an exact $\epsilon_{D\infty}$ value for the PK1 fit, as discussed earlier. Nevertheless, the present results indicate that rather than just being arbitrary fitting models CK1S and PK1 fits allow one to closely estimate a K1-model description, one describing significant and meaningful physical response.

The difference between the CMF and OMF K1 curves is particularly large for the present data where $\epsilon_{D\infty} \gg \epsilon_{C1\infty}$, but the widths at half height of the top K1 curves of the figure nevertheless lead, on using Table 2 of Ref. 10, to β_1 estimates in close agreement with the direct-fit CMF ones of 0.319 and 0.318 for the CK1S and PK1, respectively. Reference 10, Table 2 actually applies only for the K1 model and should therefore never be used with experimental $M''(\omega)$ data because such data always include the effects of $\epsilon_{D\infty}$. Remember that the conductive-system K1 model and Eq. (4) are associated entirely with mobile-charge effects, and $\epsilon_{C1\infty}$ thus should not involve any bulk dipolar effects, such as those leading to $\epsilon_{D\infty}$.

Recent K1 CMF data analysis for different ionic-conducting materials has indicated that β_1 is virtually independent of both temperature and mobile-ion concentration and is close to 1/3 in value.^{7,8,16} This result is in agreement with an earlier study yielding $(1-n) \approx 0.33$ estimates for a wide variety of materials, a study that also showed that OMF estimates of β_1 were quite different and of the order of 0.58.⁴⁰ Reference 40 also states that $(1-n) \approx 0.33$ values are typically observed for mechanical losses in ionic glasses. Furthermore, nuclear spin relaxation results for a Li chloroborate glass analyzed by Eq. (1) with $k=0$ led to a value of β_0 of 0.35, which was compared with an OMF electrical conductivity relaxation estimate of 0.50.⁴¹ These results begin to suggest that a K1 value of $\beta_1 = 1/3$ may possibly be a

nearly universal result for various types of relaxation and materials.

The effect of limited frequency range on β_0 estimation was investigated starting with data for $\text{Li}_{0.18}\text{La}_{0.61}\text{TiO}_3$ at $T=150$ K kindly provided by Dr. C. León. LEVM fitting using the CMF approach led to parameter estimates that were then used to generate a virtually exact K1-model $M(\omega)$ data set with $\beta_1 = 1/3$ for the range $0.1 \leq \omega \leq 10^8$ r/s. For this set, $\epsilon_{C1\infty} = 2/3$. Since no value of $\epsilon_{D\infty}$ was included, the OMF and CMF approaches were formally equivalent. The data were then fitted with the CK0 model, one including a free ϵ_x parameter. It was needed to model the nonzero $\epsilon_{C1\infty}$ of the data since for the K0 $\epsilon_{C0(\infty)} \equiv \epsilon_{C0\infty} = 0$.

Fit results for both proportional and unity weighting should lead to $\beta_0 = 2/3$ if the relation $\beta_0 = 1 - \beta_1$ were applicable. In fact, proportional-weighting fitting led to the large S_F value of 0.073 and to the estimate $\beta_0 \approx 0.59$. With unity weighting, which emphasizes large data values, the fit at and near the peak was better and led to $\beta_0 \approx 0.61$. Better estimates of the limiting value were obtained by fitting just the $\sigma'(\omega)$ data. Then, proportional and unity weighting led to estimates of 0.617 and 0.656, respectively. When the data range was extended to 10^9 r/s, these estimates were improved to 0.628 and 0.667, respectively.

As the above-mentioned results show, β_0 estimates from fits of synthetic K1 data are sensitive to the range of the data and to which immittance level is used in the fitting. For fits of experimental data with a CMF approach involving the K1 model, there is much less β_1 sensitivity for data with small random errors, and none of course when the data have vanishingly small random errors and are of K1 character.

In recent composite CMF K1 fits of limited-range data for several different materials,^{7,8,16} most β_1 estimates were again found to be close to 1/3 for complex fits at any of the four immittance levels or for fits of any of the eight individual real or imaginary parts of the data. Such comparisons using the OMF K1 model for fitting were, however, inconsistent, and fits of $M(\omega)$ or $M''(\omega)$ yielded β_1 estimates much larger than the corresponding CMF ones. Inconsistency also appeared when OMF β_1 estimates for $\sigma'(\omega)$ fits were compared with results for any of the other immittance-level OMF fits. Since $\sigma'(\omega)$ data values are independent of the presence or absence of $\epsilon_{D\infty}$ effects, OMF and CMF fits of data at this level should yield closely the same β_1 estimated values. Such results were indeed observed, verifying the appropriateness of the CMF and the inappropriateness of using the OMF for parameter estimation at the modulus level, as illustrated in Fig. 2 and in Sec. IV F.

A final inconsistency of the OMF approach appears when the formal results of the conductive-system microscopic continuous-time random-hopping model of Scher and Lax²¹ are compared to those of the macroscopic modulus formalism.^{13,14} The Scher–Lax model involves a $[1 - I_0(\omega)]$ term in its response, just as in Eq. (4). The $\epsilon''_{C1}(\omega)$ expressions following from the two models are of exactly the same form, but the $\epsilon'_{C1}(\omega)$ expressions differ by the absence of a nonzero $\epsilon'_{C1(\infty)} = \epsilon_{C1\infty}$ in the microscopic model. Note that with the present stretched-exponential expression for

TABLE II. Fitting results using row-1 synthetic $M(\omega)$ data derived from fitting single-crystal $0.88\text{ZrO}_2 \cdot 0.12\text{Y}_2\text{O}_3$ at $T=503$ K. In row 1, $\epsilon_{D\infty}=23$, and it is zero for row 2. The dimension of σ_0 is S/cm and that of all τ -related quantities is seconds. Rows 3 and 4: $M(\omega)$ fits; rows 5–7: $\sigma'(\omega)$ fits. See Eqs. (23)–(22) for $\langle x \rangle \equiv \langle \tau \rangle / \tau_0$ expressions.

No.	Model	$100S_F$	β_k	$10^8\sigma_0$	$100M''_p$	$10^4\tau_o$	$10^4\tau_p$	$10^4\langle \tau \rangle_{01}$	$10^4\langle \tau \rangle_k$
1	CMF:CK1 Data	...	1/3	1.845	1.035	0.0400	1.212	0.2400	2.400
2	K1 data	...	1/3	1.845	3.738	0.0400	0.0598	0.2400	2.400
3	OMF:K1 fit	2.87	0.5460	1.850	1.017	0.8101	1.069	1.395	3.443
4	CK0 fit	1.28	0.5233	1.841	1.020	1.096	1.186	...	2.023
5	CK0 σ' fit	0.98	0.5402	1.865	...	1.017	1.781
6	ZC σ' fit σ_0 free	1.75	0.3898	1.716	...	1.093	3.905
7	ZC σ' fit σ_0 fixed	3.68	0.3492	1.845	...	0.8967	4.544

$\phi_0(t)$ no overt Coulomb interactions appear in the microscopic (or macroscopic) K1 response model.

Unfortunately, the Kronig–Kramers relations do not lead to clarification of the above-mentioned difference in the two models, a difference ascribed by Scher (private communication) as arising from the inapplicability of the microscopic approach at very high frequencies. It has been shown, however, that a distribution of relaxation times estimated using LEVM from specific $\epsilon''_{C1}(\omega)$ K1 response alone may be employed to estimate the corresponding $\epsilon'_{C1}(\omega)$ response.^{12,14} The resulting $\epsilon'_{C1}(\omega)$ response agreed well with the macroscopic-model response and included a proper nonzero value of $\epsilon_{C1\infty}$, showing that when the real and imaginary parts of the microscopic response are made consistent the two models are fully isomorphic. Since these calculations and responses involve only mobile-charge effects, the OMF is intrinsically nonisomorphic because its limiting dielectric constant involves dipolar as well as monopolar effects and the model is thus not isomorphic to the Scher–Lax microscopic response theory as claimed earlier.^{42,43}

The above-mentioned CMF isomorphism provides an instructive microscopic interpretation of the K1 conductive-system model. The Scher–Lax approach is that of stochastic hopping transport of charge involving a continuous-time random walk on a lattice. The excellent fits of experimental data using such macroscopic CMF models as the CK1, the CK1S, and the PK1 suggest that not only is one dealing with a conductive system but the physical processes associated with charge motion in the material investigated are well described by those of the microscopic Scher–Lax model.

The major problem with the OMF approach, the unwarranted replacement of $\epsilon_{C1\infty}$ by $\epsilon_{D\infty}$ or ϵ_∞ , was first pointed out in 1994.¹¹ As already mentioned, in 1995 Sidebottom, Green, and Brow⁴⁰ showed that UDR power-law data analysis for a wide variety of materials yielded $(1-n)$ values of about 0.33, quite different from their larger OMF β_1 estimates of about 0.58, but no explanation of the difference was presented. Since then, the OMF and CMF data fitting approaches have been compared in detail.^{3–8,12,14} When electrode and/or nearly-constant-loss effects are properly accounted for, the OMF β_1 is found to increase with temperature, quite different from CMF-fit constant estimates of about 1/3.⁸

IV. COMPARISONS OF MEAN-VALUE AND DIELECTRIC-CONSTANT EXPRESSIONS AND FIT RESULTS

A. Background

Because all dispersed-response models lead to relations such as Eqs. (5) and (8) that involve averages over their distributions of relaxation times, it is important to compare means for various models as well as the resulting expressions that relate limiting dielectric constants and dc conductivity. We shall start with general relations for limiting dielectric constants and then show explicit forms and fitting results for the present models of interest. Denote the normalized relaxation-time distribution as $G(x)$. Then, generally^{2–6,12,13}

$$\begin{aligned} \langle \tau^m \rangle_k &= \tau_o^m \langle x^m \rangle_k = \tau_o^m \int_0^\infty x^m G_k(x) dx \\ &= [\tau_o^m / \Gamma(m)] \int_0^\infty u^{m-1} \phi_k(u) du, \end{aligned} \quad (12)$$

where $u \equiv t/\tau_o$ and $\Gamma(m)$ is the Euler gamma function. For Kk conductive-system models, $G_1(x) = (x/\langle x \rangle_{01}) G_0(x)$.³ Unfortunately, for the $k=Z$ ZC model with its nonphysical limiting responses, $\langle \tau \rangle_Z$, for example, does not exist unless $G_Z(x)$ is cutoff at both extremes. All the following results involve no cutoffs,⁵ appropriate for the data set used for the present comparisons. The quantity ϵ_x is a free dielectric parameter used in fitting with some of the following models.

B. CKO model

$$\epsilon_{C00} / \epsilon_{Ma} = \langle x \rangle_0 = \beta_0^{-1} \Gamma(\beta_0^{-1}), \quad (13)$$

$$\epsilon_{C0\infty} / \epsilon_{Ma} = 1 / \langle x^{-1} \rangle_0 = 0, \quad (14)$$

$$\epsilon_\infty = \epsilon_x, \quad \epsilon_0 = \epsilon_{C00} + \epsilon_\infty. \quad (15)$$

C. CK1 CMF model

$$\epsilon_{C10} / \epsilon_{Ma} = \langle x \rangle_1 = [\langle x^2 \rangle_{01} / \langle x \rangle_{01}] = \Gamma(2\beta_1^{-1}) / \Gamma(\beta_1^{-1}), \quad (16)$$

$$\epsilon_{C1\infty} / \epsilon_{Ma} = 1 / \langle x^{-1} \rangle_1 = \langle x \rangle_{01} = \beta_1^{-1} \Gamma(\beta_1^{-1}), \quad (17)$$

$$\epsilon_{D\infty} = \epsilon_x, \quad \epsilon_\infty = \epsilon_{C1\infty} + \epsilon_{D\infty}, \quad \epsilon_0 = \epsilon_{C10} + \epsilon_{D\infty}. \quad (18)$$

TABLE III. Results for various dielectric quantities calculated from exact data (rows 1 and 2) and fits to the row-1 data (rows 3–7). Here ϵ_{Ma} is the Maxwell-type quantity of Eq. (9). See Eqs. (13)–(23) for calculation formulas employed. The ϵ_{CZO} results are discussed in the text.

No.	Model	ϵ_x	ϵ_{Ma}	$\epsilon_{Ck\infty}$	ϵ_∞	ϵ_{Ck0}	ϵ_{k0}
1	CMF: CK1	23	5/6	5	28	50	73
2	K1	...	5/6	5	5	50	55
3	OMF:K1 fit	...	16.93	29.15	29.15	71.94	71.94
4	CK0 fit	28.76	22.79	0	28.76	42.06	70.82
5	CK0 σ' fit	...	21.42	0	...	37.51	...
6	σ_0 free	...	21.21	74.44	...
	ZC σ' fit						
7	σ_0 free	...	18.46	91.37	...
	ZC σ' fit						
	σ_0 fixed						

D. K1 OMF model

$$\epsilon_{10}/\epsilon_{Ma} = \langle x \rangle_1 = [\langle x^2 \rangle_{01} / \langle x \rangle_{01}] = \Gamma(2\beta_1^{-1}) / \Gamma(\beta_1^{-1}), \tag{19}$$

$$\epsilon_{1\infty} / \epsilon_{Ma} = 1 / \langle x^{-1} \rangle_1 = \langle x \rangle_{01} = \beta_1^{-1} \Gamma(\beta_1^{-1}), \tag{20}$$

$$\epsilon_\infty = \epsilon_{1\infty}, \quad \epsilon_0 = \epsilon_{10} + \epsilon_\infty. \tag{21}$$

Although the right-hand sides of Eqs. (16) and (17) are the same in form as those of Eqs. (19) and (20), large differences between the τ_o and β_1 estimates obtained from CMF and OMF fits of the same data ensure that their ϵ_{C10} and $\epsilon_{C1\infty}$ estimates will also differ substantially, as illustrated in Sec. IV F. Note that the conductive-system ‘‘C’’ subscript is omitted here from the above-mentioned OMF dielectric-constant designations because the OMF approach is a combination of both conductive and dielectric responses, as already discussed.

E. ZC model with $\beta_Z \equiv 1 - n \approx \beta_0$

$$\begin{aligned} \epsilon_{CZO} / \epsilon_{Ma} \doteq \langle x \rangle_0 &= \int_0^\infty \phi_0(u) du = \int_0^\infty \exp(-u^{\beta_Z}) du \\ &= \beta_Z^{-1} \Gamma(\beta_Z^{-1}), \end{aligned} \tag{22}$$

$$\epsilon_0 = \epsilon_{CZO} + \epsilon_\infty. \tag{23}$$

Equation (22) for ϵ_{CZO} , involving a Kohlrausch response approximation, is discussed in the following.

F. Synthetic data fitting results

In the following two tables, results are presented for fits of the various models to an exact CK1 data set. This set, which involved 81 points logarithmically distributed over the range $100 \leq \omega \leq 10^6$ r/s, was derived by starting with the CK1S fit parameters, adjusting the values of those involving the CK1 part of the response slightly, and then using them in LEVM to generate the data. Thus, the resulting data set well represents the response of single-crystal $0.88\text{ZrO}_2 \cdot 0.12\text{Y}_2\text{O}_3$ at $T = 503$ K with electrode or nearly-constant-loss effects removed. Because LEVM yields the most accurate response for $\beta_1 = 1/3$ and because many fit results well approximate this value, it was used, along with $\rho_0 = 5.421 \times 10^7 \Omega \text{ cm}$.

Table II presents values of various quantities for the exact data in rows 1 and 2 and for fit results in rows 3–7. Here $M_p''(\omega_p)$ is the peak value of the $M''(\omega)$ data or fit and $\tau_p \equiv 1/\omega_p$ is the corresponding tau value, where ω_p is the mode of the response curve. The τ_p column has been included because it was stated some years ago that for conductive systems τ_p and the $\langle \tau \rangle$ defined by $\tau_p \beta^{-1} \Gamma(\beta^{-1}) = \epsilon_V \epsilon_\infty / \sigma_0$ were in close agreement.³⁹ But because the results in Table II show that $\tau_p \neq \tau_o$, this definition of $\langle \tau \rangle$ is incorrect and unequal to that following from Eqs. (8) and (19) for the OMF, $\langle \tau \rangle_{01} = \tau_o \langle x \rangle_{01}$.

Table II also shows that there are no equalities between the estimates of τ_o , τ_p , and $\langle \tau \rangle_{01}$ obtained from the same fit results. Le3n, Lucia, and Santamaria found excellent agreement, however, between their ZC $\tau_Z = \tau_o$ and $\langle \tau \rangle_{01}$ estimates from fits of data for the same material as that considered here but one with a slightly smaller Y_2O_3 concentration.³³ Such close agreement suggested to them that the two quantities might be the same. As in the present work, their $\langle \tau \rangle_{01}$ estimates involved the OMF K1 model, but their $\beta (= \beta_1)$ and other parameter estimates were derived by a series of approximations rather than directly as here. Here, the corresponding estimates for comparison are $\tau_Z \approx 1.093 \times 10^{-4}$ s and $\langle \tau \rangle_{01} \approx 1.395 \times 10^{-4}$ s. Interestingly, much closer agreement is apparent here between the τ_Z estimate and the OMF K1 $\tau_p \approx 1.069 \times 10^{-4}$ s one. Accurate fitting of data from different materials and at different temperatures is needed in order to assess the generality of these results although the more significant comparisons are those involving CMF rather than OMF fitting results.

Table II shows $\sigma'(\omega)$ fit results in rows 6 and 7 for the ZC model. These results therefore are also ones that would be obtained from a UDR-model fit using Eq. (11) except for the difference between τ_Z and τ_U estimates already mentioned. The results in rows 6 and 7 differ because σ_0 was, as usual, a free fitting parameter for the row-6 fit and was held fixed at the exact row-1 value for the row-7 fit. Here β_Z is defined as $(1 - n)$, so its row-6 value corresponds to $n \approx 0.61$, a common value for UDR fits for materials and temperatures where electrode and NCL effects are negligible^{6,15,26,41} or properly accounted for in complex-nonlinear-least-squares fitting. The row-6 estimate of σ_0 is the worst of those in Table II, and when it is held fixed at the

proper value, as in row 7, S_F is far larger. The row-6 σ_0 estimate is poorest because ZC low-frequency-limiting behavior is physically improper. For this reason, in the absence of physically realizable cutoff, $\epsilon_Z(\omega)$ increases indefinitely as $\omega \rightarrow 0$ and $\langle x \rangle_Z$ is infinite.

Now it is clear that the ZC model is more comparable to the K0 rather than to the K1 one. For illustrative purposes only, I make the approximation of using ZC fit parameter estimates in the K0 expression of Eq. (22) in order to calculate the $\langle \tau \rangle_Z \doteq \langle \tau \rangle_0$ results of rows 6 and 7. It is clear that they are appreciably larger than the other values in Table II, perhaps in part because the $\beta_Z = (1 - n) = \beta_0$ relation is too approximate.

When one carries out a $\sigma'(\omega)$ OMF K1 fit of the present data, the fit is perfect and leads, as expected, to the results shown in row 2. Note that the row-2 data and parameters are very close to those of the CMF top K1 curves of Fig. 2. Comparison of the row-2 and row-3 results thus illustrates the inconsistency of the OMF approach at its starkest, as do the Fig. 2 curves. Such inconsistency also leads to the large row-3 S_F value. Comparison of the CK0 results of rows 4 and 5 indicates that although the comparable parameter estimates and $\langle \tau \rangle_0$ values are not exactly the same, they are reasonably close, and the S_F values are much smaller than that of the row-3 OMF fit. The K0 model is thus appreciably more applicable here than is the OMF one, and differences between the parameter estimates of rows 4 and 5 are associated with the systematic errors arising from fitting CK1 data with a CK0 model, not from a basic inconsistency like that of the OMF model.

The results shown in Table III used those of Table II and Eqs. (13)–(23). Comparison of the values shown in rows 1 and 3 makes it clear that although the OMF K1 approach leads to estimates of ϵ_∞ and ϵ_0 in reasonable agreement with those of the input CMF CK1 model, these quantities are formed in different ways. In particular, note that the OMF estimate of ϵ_∞ arises entirely from the $\epsilon_{C1\infty}$ estimate of the fit but includes the $\epsilon_{D\infty}$ value in this estimate, thus improperly combining both conductive and dielectric system quantities in this conductive-system parameter. Similar differences appear for the ϵ_{C10} estimates.

It is evident that although the K0 fit cannot lead to separation of $\epsilon_{C1\infty}$ and $\epsilon_{D\infty}$ values because $\epsilon_{C0\infty}$ is zero or negligible, $M(\omega)$ K0 fits nevertheless yield a better estimate of ϵ_{C10} than do such fits using the OMF K1 model. Since $\sigma'(\omega)$ fits lead to no ϵ_∞ estimates, no ϵ_{k0} values are shown for rows 5–7, but it is clear that the present analysis of ZC-fit data using a K0 expression yields very poor ϵ_{C10} estimates and would yield far too large estimates of ϵ_0 . Finally, it is worth emphasizing that the conventional use of Eq. (20) of the OMF K1 model for estimation of σ_0 when a value of ϵ_∞ is known (or sometimes *vice versa*), is inappropriate for two reasons. First, data rarely extend to such high frequencies that an accurate, limiting frequency-independent estimate of ϵ_∞ is available. Second, the inconsistent, theoretically improper OMF approach should be superseded by the CMF approach, one that, through the use of LEVM or an equivalent fitting procedure, yields simultaneous accurate estimates of all pertinent parameters: β_1 , τ_0 , σ_0 , and $\epsilon_{D\infty}$, and so it then

allows the calculation of valid estimates of $\epsilon_{C1\infty}$ and ϵ_{C10} .

Unfortunately, all publications dealing with the CMF have been largely ignored so far, and the OMF continues to be widely employed. For example, Ref. 41 provides a list of 20 OMF papers, many appearing after 1995, and many others continue to be published. Because science involves the search for truth, continuing users of the OMF should either accept the CMF or show where and why it is incorrect. Since neither has happened in the last seven years, it seems highly probable that the basic premise of the CMF is unlikely to be false and thus the CMF is a far more appropriate idealization of the actual conductive-system physical situation than is the OMF.

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